

PRINTER RUSH

(PTO ASSISTANCE)

4th Request

Application : 09/945512 Examiner : Booth GAU : 2812
From : T. McGill Location : (IDC) FMF FDC Date : 11/3/04
Tracking # : 5974753 Week Date : 7-5-04

| DOC CODE | DOC DATE | MISCELLANEOUS |
|------------------------------------------|----------------|----------------------------------------------|
| <input checked="" type="checkbox"/> 1449 | | <input type="checkbox"/> Continuing Data |
| <input checked="" type="checkbox"/> IDS | <u>9-22-03</u> | <input type="checkbox"/> Foreign Priority |
| <input type="checkbox"/> CLM | | <input type="checkbox"/> Document Legibility |
| <input type="checkbox"/> IIFW | | <input type="checkbox"/> Fees |
| <input type="checkbox"/> SRFW | | <input type="checkbox"/> Other |
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| <input type="checkbox"/> 312 | | |
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[RUSH] MESSAGE: ATTN: Rori Barch, Supervisor Query Branch
PTO-1449: Please either initial or line
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[XRUSH] RESPONSE: _____

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 09/945512 |
| Filing Date | August 30, 2001 |
| First Named Inventor | Forbes, Leonard |
| Group Art Unit | 2812 |
| Examiner Name | Booth, Richard |
| Attorney Docket No: | 1303.027US1 |



US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|-------------------------------------------------|-------|----------|----------------------------|
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| | US-6,541,280 | 04/01/2003 | Kaushik, , et al. | | | 03/20/2001 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials * | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
|---------------------|---------------------|------------------|-------------------------------------------------|-------|----------|----------------|
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|---------------------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| | | SHI, Y. , "Tunneling Leakage Current in Ultrathin (<4 nm) Nitride/Oxide Stack Dielectrics", IEEE Electron Device Letters, 19(10), (1998), pp. 388-390 | |
| | | ZHANG, "Atomic Layer Deposition of High Dielectric Constant Nanolaminates", Journal of The Electrochemical Society, 148(4), (2001), F63-F66 | |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

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